INTERNATIONAL PROGRAM ON
Securities Market Operations
25th - 28th February 2019
BSE Institute Limited

BSE Institute Limited is a wholly owned subsidiary of the BSE Limited. BSE Institute inherits from BSE the knowledge and insights into the capital markets industry, garnered over the past 143 years.

- At BSE Institute we believe that insightful financial professionals will drive innovation, growth, and value in today's dynamic world economy. Hence, our open registration programs are designed to transform good professionals into great leaders.
- The courses designed at BSE Institute help in developing the required capabilities, understanding the trends and in preparing for what's around the bend.
- With a diverse mix of participants, experiential and holistic learning, faculty with vast industry experience, and strong corporate involvement, learning at BSE Institute is a unique experience.
- We credit ourselves for having trained over 10,000 participants in various courses offered at the institute.

Program Objective

This Four days program for market professionals in the mid to senior levels working in the securities markets provides a unique experience of learning various aspects of the policy and practice. The program is primarily meant for international audience and thus consists of a right mix of class room lectures/presentations, practical / demonstrations, interface with leading professionals and practitioner and visits to major financial institutions in Mumbai.

Target Audience

Mid-Level Officials to Senior level Management representatives from the Regulator, Securities Market Commission, Stock Exchanges, Central and Commercial Banks, Financial Institutions & Broking Houses
About BSE Institute Limited

The BSE Institute Limited (BIL) inherits from BSE, knowledge and insights into the capital markets industry, garnered over the past 143 years. BIL is a wholly owned subsidiary of BSE Limited.
<table>
<thead>
<tr>
<th>Time</th>
<th>Session Overview</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Day 1</strong></td>
<td></td>
</tr>
<tr>
<td>10:00 am - 10:15 am</td>
<td>Arrival and Familiarization</td>
</tr>
<tr>
<td>10:15 am - 10:30 am</td>
<td>Opening Remarks by the Program Head</td>
</tr>
<tr>
<td>10:30 am - 11:30 pm</td>
<td>Introduction to Indian Capital Market &amp; International Exchange INX</td>
</tr>
<tr>
<td>11:30 pm - 12:30 pm</td>
<td>BSE Trading Platform</td>
</tr>
<tr>
<td>12:30 pm - 01:30 pm</td>
<td>Lunch Break</td>
</tr>
<tr>
<td>01:30 pm - 02:30 pm</td>
<td>Listing Procedures</td>
</tr>
<tr>
<td>02:30 pm - 03:30 pm</td>
<td>Clearing and Settlement &amp; Risk Management</td>
</tr>
<tr>
<td>03:30 pm - 05:00 pm</td>
<td>Derivatives Market Perspective and Trading Mechanism</td>
</tr>
<tr>
<td><strong>Day 2</strong></td>
<td></td>
</tr>
<tr>
<td>10:00 am - 11:30 am</td>
<td>Index Services</td>
</tr>
<tr>
<td>11:30 am - 01:00 pm</td>
<td>Market Surveillance and Exchange Perspective</td>
</tr>
<tr>
<td>01:00 pm - 02:00 pm</td>
<td>Lunch Break</td>
</tr>
<tr>
<td>02:00 pm - 03:00 pm</td>
<td>IPO and Book Building Process</td>
</tr>
<tr>
<td>03:00 pm - 04:15 pm</td>
<td>Commodity Market Perspective</td>
</tr>
<tr>
<td>04:15 pm - 05:15 pm</td>
<td>Inspection Compliance Procedures</td>
</tr>
</tbody>
</table>
**Session Overview**

### Day 3

<table>
<thead>
<tr>
<th>Time</th>
<th>Session</th>
</tr>
</thead>
<tbody>
<tr>
<td>10:00 am - 11:00 am</td>
<td>Market Manipulation - Insider Trading</td>
</tr>
<tr>
<td>11:00 am - 12:00 pm</td>
<td>Role of Foreign Institutional Investors</td>
</tr>
<tr>
<td>12:00 pm - 01:00 pm</td>
<td>Mutual Fund Industry in India</td>
</tr>
<tr>
<td>01:00 pm - 02:00 pm</td>
<td>Lunch Break</td>
</tr>
<tr>
<td>02:00 pm - 03:00 pm</td>
<td>Dematerialization of Shares and Depositories And Walk and Talk Tour of CDSL</td>
</tr>
<tr>
<td>03:00 pm - 04:15 pm</td>
<td>FinTech and the transformation in Securities Market Operations</td>
</tr>
<tr>
<td>04:15 pm - 05:15 pm</td>
<td>Rise of alternate funding platforms - Equity crowd funding and peer-to-peer lending</td>
</tr>
<tr>
<td>7:30 pm onwards</td>
<td>Networking Dinner</td>
</tr>
</tbody>
</table>

### Day 4

- Visit at Securities & Exchange Board of India (SEBI), market regulator
- Visit at financial institution
Program Fees

US $6000/- (Includes single room accommodation in a reputed star hotel, local transportation from hotel to the training venue and back, study materials, lunch & refreshments and field visits / walk and talk tours at reputed financial organizations).

Duration & Timing

4 Days | 10:00 am to 5.30 pm

Registration

Use enclosed format with payment details

Last Date for Registration

15th February, 2019

Enroll now to avail the discount!!

- Register on / before 8 February, 2019 and avail “Flat 15% Discount” on the total fees per participant
- Group Bookings – Buy 4 delegate passes and get flat 25% discount.

*BIL reserves the right to decide the policy pertaining to permissible refund to participants who have registered and paid fees for the program.
Disclaimer

1. Accommodation for participants will be arranged at 5 star rated Hotels. (Note: Hotel Check in 12.00 pm – Check out 12.00 pm)
   *Subject to confirmation and availability

   Participants checking in before 12.00 pm will however be charged from the previous day as the Hotel will have to block rooms for them from the previous day.

   If any Extra Day stay(s) is applicable, the Participant is requested to pay directly to the Hotel at the Special Rate applicable to BSE Group for single room occupancy with Breakfast only.

2. Airport Transfers:
   BIL will arrange transportation from Mumbai International Airport to Hotel and back.

3. Participants are advised to have the following:
   A) Travel Insurance      B) Medical Insurance
   C) Baggage Insurance     D) Your personal medical kit
   E) International Roaming Number   F) Any other related

4. BIL will bear the accommodation/stay of all the participants for 5 Nights only at the 5 star rated hotel, (Check In after/ at 12.00 pm on Sunday, 24th February, 2019 and Check Out by/before 12.00 pm on Thursday, 28th February, 2019).
   Participants staying beyond the mentioned days will have to bear the extra charges directly to the hotel.

5. A participant enrolling for the program understands and accepts the fact that BIL shall make best effort to provide accommodations to participants as mentioned herein. However, participant shall assume all risk and liability with reference to the accommodations while attending program and BIL and/or BSE Ltd. shall not be liable for the same.
6. BSE Ltd. / BIL Mumbai, India employees/coordinators would not be responsible for any accommodation / stay beyond the last day of the program or any related issues thereafter.

7. BSE Ltd. / BIL, Mumbai, India employees/coordinators shall not be held responsible for any unforeseen occurrence / circumstances / ailments / or related incidents including but not limited to incidents during / after the program or hotel stay or local travel while in Mumbai, India.

8. Breakfast would be complimentary at the hotel, during the days of hotel stay.

9. Any other expenses related to participant's accommodation, telephone calls, laundry, usage of mini-bars, personal restaurant bills, other expenses related personal nature etc. are needed to be cleared / settled by the participants directly to hotel before checking-out.
Few of Our International Clients
Participants of previous Training Programs

Maryam Sayed Adel Alhashemi
Bahrain Bourse, Kingdom of Bahrain

“To have a overview of the exchange different product and services interact with different regulatory and exchange bodies globally.”

Senan Mohamed Al Sherooqi
Bahrain Bourse, Kingdom of Bahrain

“The courses was comprehensive and educational and I learned quite well from the program lectures. Also I was very impressed by how professional is the capital market in India.”

Jameela Ali Al Hamhami
Head of Indices Section Muscat Securities Market

“Good Topics Covered. Excellent faculty in indexing, IPO's Procedures, Debt Market and Commodity Market. In addition to other interesting sessions.”

Moses Kenneth Abakah
Central Securities Depository, Ghana

“The objectives were primarily focussed on understanding the attainment of an efficient capital market & the role capital market can contribute to economic growth/ development. The objectives were achieved because the facilitators were seasoned practitioners.”

Irene K J - mutiso
Manager, HR & Cor. Communication Central Depository & Settlement Corp. Ltd. Nairobi, Kenya

“Good eye-opener. Great to interaction with various jurisdictions. I learnt quite a lot. Good Team work.”

Shirley Chih-Ho Li
Vice President, Taiwan Stock Exchange Corporation

“The program is very helpful to understand the India Capital Market. People are capable. Great Job!”
Participants of previous Training Programs

Faisal Saleh  
Bahrain Barse, Kingdom of Bahrain

“ I got many ideas which I can take back to my company.”

Charles Dickson Brown  
Ghana Stock Exchange, Ghana

“Surveillance & Risk management: faculties were able to explain and take us through the procedures and systems they use in their surveillance and risk management process. Major take away from the training is Surveillance and inspection & Challenges and weaknesses faced by the BSE since its inception and how they have been overcome.”

Ishraq  
CMDA, Maldives

“Major take away from the training is Inspection, surveillance, regulatory best practices, “Better procedures, risk management, better overall market development techniques.”

Levina Sackey  
Ghana Stock Exchange, Ghana

“A course objectives were all achieved with proper examples and very well interactive. A better understanding of the capital market - Exposure to some techniques in the capital market, Contacts of the well-seasoned speakers and coordinators & contacts of colleagues that share the same ideas as I do.”

Makhzoom Saleem  
CMDA, Maldives

“My view was that the course primarily focus on dematerialisation and depository operations. This was definitely achieved. Infact all presentations, discussions, meetings helped me a lot to understand all aspects of capital market better. Also I have learned everything including trading, settlement, depository, risk management, etc.”

Nonofo Phalatse  
CDS Operations Officer Botswana Stock Exchange

“The program was good and gave a good insight into the Indian Market which some can be used to improve our market back home. The derivatives market course was the best.”